

Section: 400	REVIEW OF RESEARCH		
POLICY:	404b OUTSTANDING DEFERRALS AND PROVISOS		
This policy pertains to:	The activities of the Research Ethics Boards operating under the authority of the University of British Columbia		
Responsibility for executing this policy:	The Associate Director, Research Ethics, The REB Managers and the REB Chairs for all UBC affiliated REBs.		
Approval authority:	The Associate Director, Research Ethics		
Effective date:	July 2011	Supersedes documents dated:	

1. PURPOSE

This Policy outlines the process to be followed by REB administration to track and monitor the issuance of Deferrals or Provisos following Full Board review.

2. POLICY

REBs shall function impartially, provide a fair hearing to the researchers involved, and provide reasoned and appropriately documented opinions and decisions. REBs should make their decisions on the ethical acceptability of research in an efficient and timely manner, and shall communicate all approvals and refusals to researchers in writing, in print or by electronic means, in accordance with their procedures.

TCPS2 Article 6.3 and Article 6.13

When an REB is considering a negative decision, it shall provide the researcher with all the reasons for doing so and give the researcher an opportunity to reply before making a final decision

It may result in a deferral of the REB's decision until the researcher has considered the discussions and possibly modified the proposal. Such discussions are an essential part of the educational role of the REB.

The institution shall grant the REB the mandate to review the ethical acceptability of research on behalf of the institution, including approving, rejecting, proposing modifications to, or terminating any proposed or ongoing research involving humans.

3. SPECIFIC PROCEDURES

Following Full Board review, if a Deferral notice is issued to the investigators, the researchers must submit their response, via the RISE system, for Full Board Review within 6 months of receiving the Deferral Notice.

Following Full Board review, if Provisos are issued to the investigators, the REB authorize the Chair (or Delegated Reviewer) to review the investigator's response, and any requested modifications, once satisfactory approval will be granted. Researchers must submit their response, via the RISE system, within 6 months of receiving the Provisos Issued.

One month following the issuance of the Deferral / Provisos, the REBA will issue a reminder to the Principal Investigator, Primary Contact and Department Approver that a response to outstanding Deferral/Provisos is required via the RISE system and that if no action is taken, the application will be terminated at 6 months.

The REBA will terminate any applications that have no response to the Deferral / Provisos at 6 months of issuing the Deferral / Provisos.